

# An Interesting Wave Amplifier\*

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**Summary**—This paper concerns the theory and structure of an amplifier involving growing waves.

THIS PAPER discusses an interesting amplifying device which makes use of growing waves that resemble in some ways the waves characteristic of traveling-wave tubes, double-stream amplifiers and other electron beam devices. The paper first presents the theory of amplification, and then discusses the physical aspects.

The theory is worked out in terms of a cylindrical minar-flow, single-velocity beam of mass density  $\rho$ . The beam is assumed to be incompressible and to have an acoustic pressure  $P$ . Linearized solutions with no variation in angle about the axis are sought. It is assumed that quantities contain a factor as  $\exp(j\omega t - j\beta z)$ , which suppresses all variations with respect to  $z$  and  $t$ . From Newton's laws, we have for the Eulerian velocity components  $v_r$  and  $v_z$  the linearized equations

$$(\omega - \beta u_0)v_z = -(1/\rho)\beta P \quad (1)$$

$$(\omega - \beta u_0)v_r = -j(1/\rho) \partial P / \partial r. \quad (2)$$

Because the flow is incompressible, the divergence of the velocity must be zero; thus

$$-j\beta v_z + \partial v_r / \partial r + v_r / r = 0. \quad (3)$$

From (1)–(3), we easily obtain

$$\partial^2 P / \partial r^2 + (1/r) \partial P / \partial r - \beta^2 P = 0. \quad (4)$$

The solution of (4), which is finite on the axis, is, where  $A$  is a constant,

$$P = AI_0(\beta r). \quad (5)$$

We must now consider the boundary condition at the edge of the beam. This boundary will be located at  $r + \tilde{r}$ , where  $\tilde{r}$  is a radial displacement corresponding to the boundary. We have

$$v_r = j(\omega - \beta u_0)\tilde{r}. \quad (6)$$

From (6) and (2)

$$\tilde{r} = \frac{-(1/\rho)(\partial P / \partial r)}{(\omega - \beta u_0)^2}. \quad (7)$$

For small displacements, the curvature of the boundary in the plane of the axis at  $r + \tilde{r}$  is  $\partial^2(r + \tilde{r}) / \partial z^2$ . The curvature in a plane normal to the axis is  $-1/(r + \tilde{r})$ , of which the ac component is  $\tilde{r}/r^2$ . The ac pressure  $P$  at the boundary is given in terms of a surface tension  $S$

and the total ac curvature, the sum of these two curvatures

$$P = -S(\beta^2 - 1/r^2)\tilde{r}. \quad (8)$$

By using (7), we see that

$$P = \frac{(S/\rho r^2)((\beta r)^2 - 1) \partial P / \partial r}{(\omega - \beta u_0)^2}. \quad (9)$$

This must hold at the boundary,  $r + \tilde{r}$ . To the first order we can take  $P$  and  $\partial P / \partial r$  as the values at  $r$ , as given by (5). Thus, from (5) and (9) we obtain

$$(\omega - \beta u_0)^2 = (S/\rho r^2)((\beta r)^2 - 1)\beta I_1(\beta r)/I_0(\beta r). \quad (10)$$

We now look for growing waves by assuming

$$\beta = \omega/u_0 + \delta. \quad (11)$$

We approximate  $\beta$  by  $\omega/u_0$  on the right-hand side of (10) and obtain the approximate expression for  $\delta$ ,

$$\delta = \pm j(S/\rho r^3 u_0^2)^{1/2} (20 \log_{10} e)^{-1} F(\omega r/u_0) \quad (12)$$

$$F(\omega r/u_0) = 20 \log_{10} e [1 - (\omega r/u_0)^2]$$

$$\cdot (\omega r/u_0) I_1(\omega r/u_0) / I_0(\omega r/u_0)]^{1/2}. \quad (13)$$

The gain  $G$  in db per unit distance for the growing wave is

$$G = (S/\rho r^3 u_0^2)^{1/2} F(\omega r/u_0). \quad (14)$$

In Fig. 1,  $F(\omega r/u_0)$  is plotted vs  $(\omega r/u_0)$ . We see that for low frequencies, the gain is proportional to frequency, and that it reaches a maximum of about 3 near  $\omega r/u_0 = 0.7$  and falls to zero at a frequency  $f_m$  given by

$$f_m = u_0/2\pi r. \quad (15)$$

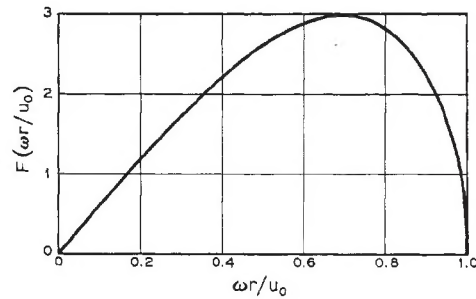


Fig. 1.

Let us now consider the actual device. This is an acoustic amplifier attributed by Boys in a lecture given on January 3, 1890<sup>1</sup> to Mr. Chichester Bell, cousin of

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<sup>1</sup> C. V. Boys, "Soap Bubbles," Doubleday & Company, Inc., New York, N. Y., 1959.

Alexander Graham Bell, inventor of the telephone. I know of no earlier wave-type amplifier.

In this amplifier, a thin jet of water is directed against a small rubber diaphragm which is coupled to a horn. Any wave on the stream of water causes the diaphragm to vibrate and produces a sound from the horn. It appears that growing waves set up in the stream of water by touching a tuning fork or a music box to the nozzle from which the water flows can produce a volume of sound from the horn sufficient to be heard through a lecture hall.

It is not the purpose of this paper to deal with the excitation of the growing waves by the vibration of the nozzle or with the vibration imparted to the diaphragm

by the stream of water. It is interesting, however, to consider a numerical example.

Suppose that we assume a stream diameter of 1/10 inch and require a cutoff frequency of 5000 cps. Then in (15) we see that we must have a stream velocity of 1570 cm/sec. This corresponds to a head of 1260 cm or 12.6 meters (or about 41 feet).

The maximum gain  $G_m$  in db/cm, which in this case will occur at a frequency of about 3500 cps, is very nearly

$$G_m = 3(S/\rho r^3 u_0^2)^{1/2}.$$

For the example given above, we already have  $r$  and  $u_0$ . For water,  $S$  is about 73 dynes/cm and  $\rho$  is about 1 gram/cm<sup>3</sup>. Hence,  $G_m$  will be 1.43 db/cm.

## High Average Power Dissipation in Helix Tubes\*

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**Summary**—By precisely shrinking the glass envelope around the helix to a controlled depth, thus providing an exceptionally low thermal impedance to the outside of the envelope, and passing a suitable coolant over the envelope, it has been found that the power dissipation capability of helix circuits can be extended by more than one order of magnitude. A qualitative analysis indicates that the heat transfer characteristics should be as good as the experimental results indicate.

BY precisely shrinking the glass envelope around the helix to a controlled depth, thus providing an exceptionally low thermal impedance to the outside of the envelope, and passing a suitable coolant over the envelope, it has been found that the power dissipation capability of helix circuits can be extended by more than one order of magnitude.

This presents the possibility of an entirely new class of power tubes, moving into an area that has been heretofore the domain of the "all-metal circuits." It will be especially useful in electronic counter-measures systems, where bandwidth is crucial and the average power demands are already beyond the state of the art for helix circuits. It will also be useful in bifilar helix, electrostatically focused tubes where power output is limited by the intercepted beam handling capacity of the circuit.

In tubes, as presently designed, performance can be optimized by good gun design. However, there appear to be two major limiting factors, RF losses and RF defocusing. This condition is exaggerated at high peak

powers and is further complicated by angular magnetic field variations due to slots in the pole pieces for coupling.

A satisfactory solution to the power dissipation problem demands that the characteristics of the helix be affected minimally by the cooling scheme. This implies that dielectric loading be kept within acceptable limits, that no conducting material be brought so close as to adversely affect the RF properties of the helix.

In a vacuum, there are two ways to cool a helix; one is by conduction, and the other by radiation. Radiative cooling is undesirable for two reasons. As the helix temperature increases, the helix becomes more lossy, thus causing the output power to drop until equilibrium is reached. Also, this radiating helix acts as a heat element to out-gas those parts of the tube to which it radiates. As a consequence, the life expectancy of the tubes will be much shorter than if they were run at

### DESIGN

In designing a tube that is to handle appreciable average power, the circuit is generally made of a material with as high a thermal conductivity as is available. For example, in "all-metal circuits," this is usually copper. However, examination of the heat flow equation  $\Delta Q = k A \Delta T / L$  indicates that there is another way to optimize heat flow, and that is by the geometry.

Thus, any decrease in conductivity  $k$  can be offset by an increase in the geometry factor  $A/L$ . Indeed, examination of helix-glass envelope structure indicates that the geometry factor  $A/L$  is quite large. The helix and envelope used in this experiment were those used in the Hughes Products, MAS-1A, S-band, 1 kw, 2 — 4 kmc amplifier.

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